

<u>Directorate</u>	<u>Appendix A - 2022-23 Provisional Outturn position by Directorate</u>	<b>Quarter 3 2022-23 £000</b>	<b>Provisional Outturn 2022- 23 £000</b>	<b>Movement from Q3 £000</b>
<b>Corporate Services</b>	<b>Savings Delivery Pressure:</b> 2223-B6-020 - Pressure on staff savings due to the delay in the implementation of a project driving improvements in the telephony system	111	111	0
		<b>111</b>	<b>111</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	Budget pressures on Data, Technology & Innovation staffing budgets	291	70	(221)
	Additional in year IT contract costs as a result of price and volume increases	998	1,426	428
	Increase in mobile phone and data usage due to remote working	212	212	0
	Additional cost for procurement of telephony cloud service, Abacus license fee, VM Ware and CRM system.	139	142	3
	Human Resources - historical income pressures	96	146	50
	Payroll - non shared services	114	(3)	(117)
	Staffing / Agency pressures in Legal and Democratic services	194	259	65
	Income budget pressure in Legal and Democratic services mitigated by additional other income received within legal services	24	86	62
	Reduction in income forecast in Land Charges due to the slow down in the Housing Market	20	20	0
	Legacy income budgets not achievable - in Elections Services & DTI	53	145	92
	Coroner Storage costs	20	20	0
	Information Governance - salaries pressure	15	15	0
		<b>2,176</b>	<b>2,538</b>	<b>362</b>
	<b>In-Year Budget Variations – Underspends:</b>			
	Overachievement of income in the Coroners and Registrations services	(71)	(274)	(203)
	Additional Legal Services income	(158)	(164)	(6)
	Professional fees budget saving	(257)	(307)	(50)
	Land Charges income	(11)	0	11
	Saving on Annual Electoral Registration Canvass	(30)	(2)	28
	Miscellaneous small underspends across the directorate	(124)	(155)	(31)
	Transfer from consolidation contingency re staffing structure	(96)	(91)	5
	Staffing Underspends	(197)	(460)	(263)
	Additional income from Northampton Partnership Homes and Pension Fund	0	(244)	(244)
	One Off Income - Elections grant claim	(41)	(41)	0
OPUS Dividend	(31)	(31)	0	
Additional recharge to NNC for disaggregation costs within DTI	0	(55)	(55)	
Underspend across Shared Services	(275)	(148)	127	
	<b>(1,291)</b>	<b>(1,972)</b>	<b>(681)</b>	
<b>Management Actions:</b>				
Mitigating action plans	(1,565)	(1,048)	517	
Capitalising of salary costs - Customer Services	0	(59)	(59)	
	<b>(1,565)</b>	<b>(1,107)</b>	<b>458</b>	
Carry Forward Requests	<b>0</b>	<b>108</b>	<b>108</b>	
<b>Net Position – Corporate Services</b>	<b>(569)</b>	<b>(322)</b>	<b>247</b>	
<b>Chief Executive Services</b>	<b>Savings Delivery Pressure:</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	Net budget pressures on service aggregation savings	144	165	21
	Other minor overspends	0	39	39
		<b>144</b>	<b>204</b>	<b>60</b>
	<b>In Year Budget Variations – Underspends:</b>			
	Business Intelligence Service one off funding to be held in reserves and not released in 2022-23.	(197)	0	197
	Income not previously included in outturn position	0	(48)	(48)
	Additional income from Public Health	0	(82)	(82)
Additional staff savings in Communications and BIPI Services	(85)	(111)	(26)	
Forecast staff savings through delaying recruitment	(38)	(39)	(1)	

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	Other minor variance	(41)	(65)	(24)
		<b>(361)</b>	<b>(345)</b>	<b>16</b>
	<b>Management Actions:</b> Mitigating action plans	(416)	(247)	169
		<b>(416)</b>	<b>(247)</b>	<b>169</b>
	Carry Forward Requests	0	23	23
	<b>Net Position – Chief Executive Services</b>	<b>(633)</b>	<b>(365)</b>	<b>268</b>
<b>Education Services</b>	<b>Savings Delivery Pressure:</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b> Shortfall in Educational Psychology traded income	260	419	159
	Increase in legal costs and compensation payments due to SEND tribunals	151	130	(21)
	Staffing Overspend due to Interim DCS costs	54	54	0
	Historical pension strain costs	46	78	32
		<b>511</b>	<b>681</b>	<b>170</b>
	<b>In Year Budget Variations – Underspends:</b> Staffing underspends due to vacancies	(366)	(336)	30
	WNC share of underspends on services hosted in NNC	0	(139)	(139)
	Penalty income above budget in Educational Entitlement team	(23)	(39)	(16)
	Underspend on non-pay expenditure	(2)	(21)	(19)
		<b>(391)</b>	<b>(535)</b>	<b>(144)</b>
	<b>Management Actions:</b> Mitigating action plans	(188)	(160)	28
		<b>(188)</b>	<b>(160)</b>	<b>28</b>
	<b>Net Position – Education Services</b>	<b>(68)</b>	<b>(14)</b>	<b>54</b>
<b>Children's Trust</b>	<b>Savings Delivery Pressure:</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b> Northamptonshire Children's Trust demand and inflationary pressures	7,552	11,977	4,425
		<b>7,552</b>	<b>11,977</b>	<b>4,425</b>
	<b>In Year Budget Variations – Underspends:</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>Management Actions:</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>Net Position – Children's Trust</b>	<b>7,552</b>	<b>11,977</b>	<b>4,425</b>
<b>Communities and Opportunities</b>	<b>Savings Delivery Pressure:</b> 2223-B6-012 Reduction in need for high cost temporary accommodation	270	270	0
		<b>270</b>	<b>270</b>	<b>0</b>
	<b>In year variations - overspend:</b> Pressure on 2021-22 service aggregation savings	412	531	119
	Temporary Accommodation costs exceeded budget	220	780	560
	Overspend on management fees of Home Choice Lettings	105	197	92
	Reduction in management fee income from leisure centre	242	217	(25)
	Increase in contribution to the provision for bad debt for general fund housing temporary accommodation	0	241	241
	Daventry and Moulton Leisure centre operations exceeded the budget	43	43	0

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	Staff related pressures relating to Communities offset within budget savings	13	0	(13)
	Housing Benefit Subsidy Pressure	423	763	340
	Disabled Facilities Grants delivered on budget	21	0	(21)
	Museum commercial income underachieved	49	48	(1)
	Shortfall of Income from Leisure Play Schemes that is not being generated due to a change in the running programme	29	29	0
		<b>1,557</b>	<b>2,849</b>	<b>1,292</b>
	<b>In year variations - underspend:</b>			
	Economic Development budget saving due to leveraging in external funding support. Additional Funding sourced to fund posts	(157)	(392)	(235)
	Various revenue budget savings within Leisure from venue hire and other budgets that relate to play schemes due to the change in programmes, other small budget savings and additional funding sourced to fund posts.	(22)	(58)	(36)
	Underspends in Community across various revenue budgets where expenditure not required, such as legacy schemes that are no longer awarded.	(25)	(96)	(71)
	Museums have identified various budget savings by deferring activities to the next financial year in museum exhibitions and museum general costs, alongside additional income achieved. Savings have been found across other areas including Marketing and Facilities	(104)	(235)	(131)
	Regeneration has identified various revenue budget savings from business rates, professional fees and other revenue costs	0	(177)	(177)
	Housing underspends from various areas including recruitment slippage leading to staffing underspends and the use of other sources of funding	(62)	(378)	(316)
	Libraries generation of additional income from the rent of space for Ukraine Hub, and other revenue savings	(37)	(210)	(173)
	Salary underspends from a vacant post not filled in Leisure and Community Grants along with salary underspends from Libraries	(137)	(137)	0
		<b>(544)</b>	<b>(1,683)</b>	<b>(1,139)</b>
	<b>Management Actions:</b>			
	Mitigating action plans	(1,015)	(1,015)	0
		<b>(1,015)</b>	<b>(1,015)</b>	<b>0</b>
	<b>Net Position – Communities and Opportunities</b>	<b>268</b>	<b>422</b>	<b>154</b>
<b>Adult Social Care</b>	<b>Savings Delivery Pressure:</b>			
	Improvement in utilisation of in house residential provision - only part delivery of savings target in 22-23 due to the increasing complexity of clients and the homes being un suitable to meet their needs.	0	660	660
	Admission avoidance savings, including undelivered savings brought forward from 2021-22, is also undelivered in 2022-23. This is due to the new demand through the acutes outstripping the demand management interventions put in place.	2,915	2,915	0
	The Strength Based Working planned savings were not delivered as intended even though tracked savings for 2021-22 evidenced delivery. In 2022-23 independent care budgets did not see an associated reduction due to the increased demand the service encountered where the overall expenditure remained unchanged.	4,067	4,067	0
	Income target of utilisation of SCC's from NNC	0	1,149	1,149
		<b>6,982</b>	<b>8,791</b>	<b>1,809</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	Independent care demand pressure above original structural deficit identified as part of 22-23 budget process	7,052	6,646	(406)
	Loss of Discharge to Assess funding from 1st April 2022 that funded the first 4 weeks of care following hospital admission	1,691	1,691	0
	Cost of Discharge to Assess placements including those placed in March 2020 during Covid	1,000	1,000	0
	External Contracts pressures - Adult prevention contract disaggregation, Voids and Legal	414	439	25
	PFI Unitary charge budget pressure due to increased indexation	308	321	13
	Social Care Transport pressures due to cost pressures	700	866	166

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	Liberty Protection Safeguards Doctors section 12 Assessments	164	166	2
	Vacancies within Safeguarding & Wellbeing services, including provider services, quality and prevention	(286)	(866)	(580)
	ASC Management	0	29	29
	Specialist Care Centres operational pressures Thackley Green & Spinneyfields	0	849	849
	Shortfall on Client Contribution Income Target	0	407	407
		<b>11,043</b>	<b>11,548</b>	<b>505</b>
	<b>In Year Budget Variations – Underspends:</b>			
	Underspends across Commissioning & Performance Teams due to vacancies	(411)	(443)	(32)
	Forecast underspends across care teams due to vacancies	(751)	(1,370)	(619)
	Social Care Reform Implementation Grant	0	(35)	(35)
	Additional ICB Funding	0	(420)	(420)
		<b>(1,162)</b>	<b>(2,268)</b>	<b>(1,106)</b>
	<b>Management Actions:</b>			
	Mitigating action plans	(7,891)	(6,081)	1,810
	Capitalisation directive for LGR	0	(1,321)	(1,321)
		<b>(7,891)</b>	<b>(7,402)</b>	<b>489</b>
	<b>Net Position – Adults</b>	<b>8,972</b>	<b>10,669</b>	<b>1,697</b>
<b>Place and Economy</b>	<b>Savings Delivery Pressure:</b>			
	The Northampton estate is currently being reviewed however with the current level of vacant properties it is unlikely that this additional income will be achieved.	100	100	0
	The tenanted property development saving was reliant on a third party which is now unlikely.	15	15	0
	Intensifying accommodation use - Delays in project	210	210	0
	Delays in upgrade to Street Lighting resulting in non-achievement of energy savings.	0	34	34
	Efficiencies from combining post room services from the previous authorities - delayed implementation.	0	80	80
	Additional catering income not achieved due to extended working from home arrangements.	0	5	5
	Highways Regulations fees and charges implemented were lower than proposed charges.	0	34	34
	Building control - proposal to increase income due to capacity and resource constraints. Service is restructuring during 23/24.	0	120	120
	Regulatory Services savings proposal to consolidate contracts not achieved due to resourcing and capacity constraints. Service is restructuring during 23/24.	0	10	10
		<b>325</b>	<b>608</b>	<b>283</b>
	<b>In year variations - overspend:</b>			
	Pressures on Home to School transport due to the long term impact of covid on the market (supply and price) and increased fuel costs	5,539	5,323	(216)
	Increased utilities costs for properties and non PFI street lighting.	1,185	1,071	(113)
	Increased utilities costs for PFI street lighting, traffic signals and closed landfill site.	670	790	120
	The rate of inflation applied on several contracts within Waste is higher than the budgeted assumption, causing an overspend.	703	608	(95)
	Unachievable service aggregation saving	984	984	0
	The rate of inflation applied on several contracts within Highways & Transport is higher than the budgeted assumption, causing an overspend.	337	342	5
	Additional play areas have been added to the grounds maintenance contract resulting in increased costs.	218	218	0
	Loss of income from Northampton market.	108	96	(12)
		<b>9,744</b>	<b>9,432</b>	<b>(311)</b>
	<b>In year variations - underspend:</b>			
	Net reduction in property & facilities management costs.	(558)	(635)	(77)
	Net additional income on Northampton Schools PFI.	(356)	(298)	58
	The staggered reduction of guaranteed payments to bus operators relating to Concessionary Fares will result in an underspend.	(173)	(150)	23

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	Underspend on Reactive Maintenance due to continued working from home.	(131)	(123)	8
	Reduced demand led expenditure in the waste service including lower recycling costs as a result of fewer tonnages and improved gate fee costs, plus less garden waste tonnages due to dry summer.	(344)	(982)	(639)
	Net additional income across the waste service including recycle income at household waste recycling centres, uptake of green waste collections and profit share income.	(478)	(531)	(53)
	Reduction in non demand led expenditure in the waste service including delays with waste strategy work plus reduced tankering and street cleansing costs.	0	(509)	(509)
	Increase in estate management income including one-off back dated rent	(56)	(594)	(539)
	Improvement in car park income in Northampton.	(222)	(74)	148
	Net additional highways income.	(144)	(405)	(261)
	Underspend on Highways.	0	(247)	(247)
	Underspend on appeals within Development Services.	0	(106)	(106)
	Movement on final reconciliation of Highways & Transport IAA.	0	(170)	(170)
	Development Infrastructure & Funding - Duplication of IAA costs in 21/22 resulting in benefit in 22/23.	0	(105)	(105)
	Resolution of historic dispute with contractor.	(96)	(96)	0
	Net various small underspends across the directorate.	(414)	(666)	(252)
	Net underspend on staffing by delaying recruitment activity, despite agency staff covering critical roles.	(875)	(1,114)	(239)
		<b>(3,846)</b>	<b>(6,805)</b>	<b>(2,959)</b>
	<b>Management Actions:</b>			
	Changes in service provision to reduce duplication or stop non-essential spend	(240)	(240)	0
	Various underspends across the directorate	(430)	(395)	35
	Achievement of additional income	(260)	(250)	10
	Increased insurance rebate	(300)	(300)	0
	Staff savings through delaying recruitment to non-statutory posts	(179)	(179)	0
		<b>(1,409)</b>	<b>(1,364)</b>	<b>45</b>
	Carry Forward Requests	<b>0</b>	<b>106</b>	<b>106</b>
	<b>Net Position – Place</b>	<b>4,814</b>	<b>1,977</b>	<b>(2,837)</b>
<b>Finance Directorate</b>	<b>Savings Delivery Pressure:</b>			
	No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In year variations - overspend:</b>			
	Subscriptions costs higher than originally budgeted	20	20	0
	Additional Income Management System costs	53	88	35
	Housing Benefit Subsidy Audit Costs	70	0	(70)
	Housing Benefit Overpayment Income	44	(101)	(145)
	Reduced income	0	49	49
	Debt recovery costs funded from Covid Funding	0	186	186
	Other minor variances	9	3	(6)
		<b>196</b>	<b>245</b>	<b>49</b>
	<b>In year variations - underspend:</b>			
	Forecast underspend on staffing due to vacancies	(427)	(342)	85
	Procurement underspends as a result of extra external income and staffing costs being lower than anticipated	(232)	(218)	14
	Savings anticipated on bringing Internal Audit service back in house, and staffing vacancies	(200)	(195)	5
	Credit from Recharges to Pension Fund	0	(87)	(87)
	Net position - Shared Services	50	160	110
	Other minor variances	(73)	(75)	(2)
		<b>(882)</b>	<b>(757)</b>	<b>125</b>
	<b>Management mitigation:</b>			
	Mitigating action plans	(110)	(110)	0

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	Assumed use of non-ringfenced Covid grant	0	(186)	(186)
		(110)	(296)	(186)
	<b>Net Position – Finance Directorate</b>	<b>(796)</b>	<b>(808)</b>	<b>(12)</b>
	<b>Cost of services</b>	<b>19,540</b>	<b>23,536</b>	<b>3,996</b>
<b>Technical /Centrally Held Budgets</b>	<b>Savings Delivery Pressure:</b>			
	No reported pressures	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In year variations - overspend:</b>			
	Confirmed pressure on employers pay award	3,428	3,428	0
	Unachievable income budget	289	289	0
	Aged Debt increase in provision required	0	150	150
	Members allowances increase causing pressure (based on inflation applied of 6.4%)	149	145	(4)
		<b>3,866</b>	<b>4,012</b>	<b>146</b>
	<b>In year variations - underspend:</b>			
	Other minor underspends	(86)	(80)	6
	Business Rates income	0	(293)	(293)
	In year benefit identified following review of Minimum Revenue Provision (MRP) requirement	(350)	(350)	0
	External audit fees currently forecast to be under budget	(34)	(183)	(149)
	Treasury management and Insurance	(2,045)	(2,909)	(864)
		<b>(2,515)</b>	<b>(3,815)</b>	<b>(1,300)</b>
	<b>Management Actions:</b>			
	Mitigating action plans	(50)	(50)	0
		<b>(50)</b>	<b>(50)</b>	<b>0</b>
	<b>Net Position – Technical/ Centrally held budgets</b>	<b>1,301</b>	<b>147</b>	<b>(1,154)</b>
<b>Funding</b>	<b>In year variations - underspend:</b>			
	Business rates (Including S31 Grants)	(6,800)	(12,821)	(6,021)
	Business rates levy grant confirmed in final settlement	0	(420)	(420)
	<b>Total Funding</b>	<b>(6,800)</b>	<b>(13,241)</b>	<b>(6,441)</b>
<b>Total WNC:</b>		<b>14,041</b>	<b>10,441</b>	<b>(3,599)</b>
	<i>Use of Contingency</i>	(10,139)	(10,139)	0
	<b>Overall Net Outturn Forecast 2022-23</b>	<b>3,902</b>	<b>302</b>	<b>(3,599)</b>